

**NEW DESIGN OF NTRU PUBLIC KEY CRYPTOSYSTEM**

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**Abstract:** NTRU is a fast public key cryptosystem presented in 1996 by Hoffstein, Pipher and Silverman of Brown University. It operates in the ring of polynomials  $Z[X]/(X^N - 1)$ , where the domain parameter  $N$  largely determines the security of the system. Although  $N$  is typically chosen to be prime, Silverman proposes taking  $N$  to be a power of two to enable the use of Fast Fourier Transforms. In this paper, on the basis of Hoffstein et al.'s NTRU cryptosystem, we propose a new design of NTRU public key cryptosystem based on Ring.

**Keyword:** modulo, NTRU cryptosystem, public key cryptography, polynomial.

**Introduction:** NTRU is the first public key cryptosystem not based on factorization or discrete logarithmic problem. NTRU was originally presented by Hoffstein, Pipher and Silverman in 1996 [2] and was published in [HPS] in 1998 [3]. Since that time, NTRU Cryptosystem has issued a number of technical reports [6]. However, the concept of NTRU cryptosystem was already recorded in [7]. The notion of NTRU cryptosystem was introduced by Jeffrey Hoffstein and Daniel Lieman [1]. NTRU is a fast public key cryptosystem that operates in the ring of truncated polynomials given by  $Z[X]/(X^N - 1)$ , where the domain parameter  $N$  largely determines the security of the system. Typically  $N$  is chosen to be a prime number (not for security reasons, but because having  $N$  prime maximizes the probability that the private key has an inverse with respect to a specified modulus [4]. Recently, however, Silverman has proposed taking  $N$  to be a power of two to allow the use of Fast Fourier Transforms when computing the convolution product of elements in the ring [5].

**Description of NTRU:** NTRU is based on the algebraic structure of certain polynomial rings. So it provides very fast computation to encrypt and decrypt the message. NTRU only requires  $O(N^2)$  for the encrypt and decrypt the message. It has a following domain parameters (notation) which is the part of new NTRU cryptosystem

1. **q** : The large modulus to which each

coefficient is reduced.

2. **p**: The small modulus to which each coefficient is reduced.

3. **f**: A polynomial that is the private key.

4. **f<sub>p</sub>**: A polynomial in  $Z[X]/(p, X^{n-1})$  (this is a private key).

5. **f<sub>q</sub>**: A polynomial in  $Z[X]/(q, X^n - 1)$  (this is a private key).

6. **g**: A polynomial that is used to generate the public key  $h$  from  $f$ .

7. **h**: The public key, also a polynomial.

8. **r**: The random "blinding polynomial.

9. **m**: The plaintext message, a polynomial in  $Z[X]/(p, X^n - 1)$ .

10. **e**: The encrypted message, a polynomial in  $Z[X]/(q, X^n - 1)$ .

11. **H**: A hashing function.

12. **L<sub>f</sub>**: The set of polynomial in  $Z[X]/(q, X^n - 1)$  whose coefficients satisfy  $d_f$ .

13. **L<sub>g</sub>**: The set of polynomial in  $Z[X]/(q, X^n - 1)$  whose coefficients satisfy  $d_g$ .

14. **L<sub>r</sub>**: The set of polynomial in  $Z[X]/(q, X^n - 1)$  whose coefficients satisfy  $d_r$ .

This paper, we work in the ring  $R = Z[X]/(x^n - 1)$ . An element  $F \in R$  will be written as a polynomial or a vector,

$$F = \sum_{i=0}^{n-1} F_i x^i = [F_0, F_1, \dots, F_{n-1}]$$

We use  $\otimes$  denote multiplication in  $R$ . This otimas, multiplication is given otimas multiplication is given by

$$F \otimes G = H \text{ with } H_k = \sum_{i=0}^k F_i G_{k-i} + \sum_{i=k+1}^{n-1} F_i G_{n+k-i} = \sum_{i+j \equiv k \pmod{n}} F_i G_j$$

$$F_i G_j \quad (2) \\ i+j \equiv k \pmod{n}$$

**Organization:** The remaining parts of this paper are organized as follows. In Section 2, we give some notation and introduce a polynomial, inverse modulo which will be useful to our cryptosystem. In

Section 3, we describe Hoffstein et al.'s NTRU public key cryptosystem. In Section 4, we propose a new design of NTRU public key cryptosystem based on Ring. In Section 4, we analyze the security properties

of the our proposed cryptosystem. Finally, in Section 5, we give our concluding remarks.

**Brief Review of Hoffstein et al.'s NTRU public key cryptosystem**

• **Key Generation-** Bob choose two random polynomial  $f, g \in \mathbb{Z}_q[x]$  the polynomial  $f$  must satisfy the additional requirement that it have inverses modulo  $q$  and modulo  $p$ . We will denote these inverse by  $F_q$  and  $F_p$ , that is,

$$\begin{aligned} F_q \otimes f &\equiv 1 \pmod{q} \text{ and} \\ F_p \otimes f &\equiv 1 \pmod{p} \end{aligned} \qquad F_p \otimes f \equiv 1 \pmod{p} \qquad (3)$$

Bob next compute the quantity

$$h \equiv p f_q^{-1} \otimes g \pmod{q} \qquad (4)$$

Bob's public key is the polynomial  $h$ . Bob's private key is the polynomial  $f$  although in practice he will also want to store  $F_p$ .

• **Encryption-** Suppose Alice wants to send a message to Bob's. She begins by selecting a message  $m$  from the set of plaintexts  $L_m$ . Next she randomly choose a polynomial  $\Phi \in L_\Phi$  and uses Alice's public key  $h$  to compute,  $e \equiv p\Phi \otimes h + m \pmod{q}$  (5)

This is the encrypted message which Alice transmits to Bob's.

**Decryption-** First we compute

$$\begin{aligned} 1. \quad a &\equiv f \otimes e \\ &\equiv f \otimes p\Phi \otimes h + f \otimes m \pmod{q} \\ &\equiv f \otimes p\Phi \otimes F_q \otimes g + f \otimes m \pmod{q} \\ &\equiv p\Phi \otimes g + f \otimes m \pmod{q} \end{aligned}$$

Consider this last polynomial  $p\Phi \otimes g + f \otimes m$ . For appropriate parameter choices, we can ensure that all of its coefficients lie between  $-q/2$  and  $q/2$ , so that it doesn't change if its coefficients are reduced modulo  $q$ . This means that when Bob's reduces the coefficients of  $f \otimes e$  modulo  $q$  into the interval from  $-q/2$  to  $q/2$ , he recovers exactly the polynomial,

$$a \equiv p\Phi \otimes g + f \otimes m \text{ in } \mathbb{Z}[X]/(X^N - 1)$$

**The New design of NTRU public key cryptosystem**

The proposed cryptosystem is divided into three parts: Key generation, Encryption, and Decryption.

**Key Generation :**

• **Step 1:** To create an NTRU key, Bob's choose randomly two polynomial

$f(x) \in L_f$  and  $g(x) \in L_g$  such that  $F_q x, F_p x \in \mathbb{R}$  satisfying,

$$f(x) \otimes f_q(x)^{-1} = 1 \pmod{q} \text{ and}$$

$$f(x) \otimes f_p(x)^{-1} = 1 \pmod{p}.$$

• **Step 2:** Let  $H(x) = p \otimes f_q(x)^{-1} \otimes g(x) \pmod{q}$ .

• **Public Key:**  $H(x), p, q$

**Private Key:**  $f(x), f_p(x)^{-1}$

**Encryption:** To encrypt  $m(x) \in L_m$  we first choose an

$r(x) \in L_r$ , then compute the ciphertext:

$$e(x) = m(x) + H(x) \otimes r(x) \pmod{q}$$

This is the encrypted message which Alice to Bob's.

**Decryption:** Suppose that Bob's has received the message  $e(x)$  from Alice and want to decrypt it using his private key  $f(x)$ . Bob's first computes

$$a(x) = f(x) \otimes e(x) \pmod{q}$$

where he choose the coefficients of  $a(x)$  in

the interval from  $-q/2$  to  $q/2$ . Now treating  $a(x)$  as a polynomial with integer coefficients Bob's recover the message by computing,

$$f_p(x)^{-1} \otimes a(x) \pmod{p}$$

**Security Analysis:** We analyze the security of our cryptosystem as follows. The polynomial  $a(X)$  that Bob's compute satisfies,

$$1. \quad a(x) = f(x) \otimes e(x) \pmod{q}.$$

$$= f(x) \otimes (m(x) + H(x) \otimes r(x)) \pmod{q}.$$

$$=f(x) \otimes (m(x)+p \otimes f_q(x)^{-1} \otimes g(x) \otimes r(x)) \pmod{q}.$$

$$=f(x) \otimes m(x)+f(x) \otimes p \otimes f_q(x)^{-1} \otimes g(x) \otimes r(x) \pmod{q}.$$

$$=f(x) \otimes m(x)+p \otimes f(x) \otimes f_q(x)^{-1} \otimes g(x) \otimes r(x) \pmod{q}.$$

$$=f(x) \otimes m(x) + pg(x) \otimes r(x) \pmod{q}.$$

Then we choose the coefficients of  $a(x)$  in the interval from  $-q/2$  to  $q/2$ . By the fact that all the coefficients of  $f(x) \otimes m(x) + pg(x) \otimes r(x)$  may be in the interval  $-q/2$  to  $q/2$  from we almost get

$$f(x) \otimes m(x) + pg(x) \otimes r(x)$$

Then we can recover the message  $m$  by computing  $m = f_p(x)^{-1} \otimes a(x) \pmod{p}$ . The verifier of NTRU cryptosystem we now verify the polynomial  $b(x)$  is equal to the plaintext  $m(x)$ .

$$b(x) = f_p(x)^{-1} \otimes a(x)$$

$$= f_p(x)^{-1} \otimes f(x) \otimes m(x) + pg(x) \otimes r(x)$$

$$= f_p(x)^{-1} \otimes f(x) \otimes m(x) \pmod{p} \text{ (reducing mod } p, \text{ )}$$

$$= m(x) \pmod{p}$$

Hence  $b(x)$  and  $m(x)$  are the same modulo  $p$ .

**Conclusion:** In this paper, a new design of NTRU public key cryptosystem based on Ring is proposed. Anyone except the NTRU public key cryptosystem cannot generate a valid NTRU cryptosystem on a message. The NTRU cryptosystem cannot identify the association between the message and the polynomial he generated. The proposed cryptosystem satisfies the given security requirements.

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