

ZEROS OF POLYNOMIALS WITH EXTREME COEFFICIENTS

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Abstract: In this paper, we obtain some interesting extensions and generalizations of well known Enestrom-Kakeya Theorem. We obtain bounds for the zeros of polynomials by considering restrictions on extreme coefficients.

Keywords: Polynomials, Zeros, Enestrom - Kakeya theorem .

Mathematics Subjects Classification: 26C10, 30C10, 30C15

Introduction: Many results on the location of zeros of polynomials are available in the literature. Among them the Enestrom and Kakeya theorem [7] given below is well known in the theory of the location of the zeros of polynomials.

Theorem A: Let $P(z) = \sum_0^n a_j z^j$ be a polynomial of degree n such that

$$a_n \geq a_{n-1} \geq a_{n-2} \geq \dots \geq a_1 \geq a_0 > 0, \quad a_j \in \mathbb{R}$$

Then $P(z)$ has all its zeros in the disk $|z| \leq 1$

In the literature [1-8], diverse attempts have been made for generalizing the Enestrom-Kakeya theorem to polynomials and analytic functions. Among others, Gardner and Govil [4] generalized the Enestrom-Kakeya theorem and proved the following theorem.

Theorem B: Consider an nth-order complex polynomial $P(z) = \sum_0^n a_j z^j$ with $\text{Re}(a_j) = \alpha_j$ and $\text{Im}(a_j) = \beta_j, j = 0, 1,$

$2, \dots, n$, and assume that for some k, r and for some $t > 0$,

$$t^n \alpha_n \leq t^{n-1} \alpha_{n-1} \leq \dots \leq t^{k+1} \alpha_{k+1} \leq t^k \alpha_k \geq t^{k-1} \alpha_{k-1} \geq \dots \geq \alpha_1 \geq \alpha_0$$

$$t^n \beta_n \leq t^{n-1} \beta_{n-1} \leq \dots \leq t^{r+1} \beta_{r+1} \leq t^r \beta_r \geq t^{r-1} \beta_{r-1} \geq \dots \geq \beta_1 \geq \beta_0$$

Then $P(z)$ has all its zeros in $R_1 \leq |z| \leq R_2$ where

$$R_1 = \min \left\{ \frac{t |a_0|}{t^n |a_n| + 2(t^k \alpha_k + t^r \beta_r) - t^n (\alpha_n + \beta_n) - (\alpha_0 + \beta_0)}, t \right\}$$

and

$$R_2 = \max \left\{ \frac{1}{|a_n|} [t^{n+1} |a_0| - t^{n-1} (\alpha_0 + \beta_0) - t (\alpha_n + \beta_n) + (t^2 + 1) (t^{n-k-1} \alpha_k + t^{n-r-1} \beta_r) + (t^2 - 1) (\sum_{j=1}^{k-1} t^{n-j-1} \alpha_j + \sum_{j=1}^{r-1} t^{n-j-1} \beta_j) + (1 - t^2) (\sum_{j=k+1}^{n-1} t^{n-j-1} \alpha_j + \sum_{j=r+1}^{n-1} t^{n-j-1} \beta_j)], \frac{1}{t} \right\}$$

Choo [3] also generalized Enestrom-Kakeya theorem and proved the following theorem

Theorem C: Let $P(z) = \sum_0^n a_j z^j$ be a polynomial of degree n with complex coefficients. If

$\text{Re}(a_j) = \alpha_j$ and $\text{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$. such that for some k and r and for some $\lambda, \mu > 0$

$$\lambda t^n \alpha_n \leq t^{n-1} \alpha_{n-1} \leq \dots \leq t^{k+1} \alpha_{k+1} \leq t^k \alpha_k \geq t^{k-1} \alpha_{k-1} \geq \dots \geq \alpha_1 \geq \alpha_0$$

$$\mu t^n \beta_n \leq t^{n-1} \beta_{n-1} \leq \dots \leq t^{r+1} \beta_{r+1} \leq t^r \beta_r \geq t^{r-1} \beta_{r-1} \geq \dots \geq \beta_1 \geq \beta_0$$

then $P(z)$ has all its zeros in $R_1 \leq |z| \leq R_2$ where

$$R_1 = \frac{|a_0|}{M_1} \text{ and } R_2 = \frac{M_2}{|a_n|} \text{ with}$$

$$M_1 = t^n |a_n| + t^n |(\lambda - 1) \alpha_n| + t^n |(\mu - 1) \beta_n| + 2(t^k \alpha_k + t^r \beta_r) - t^n (\lambda \alpha_n + \mu \beta_n) - (\alpha_0 + \beta_0)$$

and

$$M_2 = t^n |(\lambda - 1) \alpha_n| + t^n |(\mu - 1) \beta_n| + 2(t^k \alpha_k + t^r \beta_r) - t^n (\lambda \alpha_n + \mu \beta_n) - (\alpha_0 + \beta_0) + |a_0|$$

In this paper we generalize the above theorem and we prove the following theorems.

Theorem 1: Let $P(z) = \sum_0^n a_j z^j$ be a polynomial of degree n with complex coefficients. If

$\text{Re}(a_j) = \alpha_j$ and $\text{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$. such that for some $0 < \lambda, \mu \leq 1$ and $0 < \tau, \rho \leq 1$

$$\lambda \alpha_n \leq \alpha_{n-1} \leq \dots \leq \alpha_{k+1} \leq \alpha_k \geq \alpha_{k-1} \geq \dots \geq \alpha_1 \geq \tau \alpha_0$$

$$\mu \beta_n \leq \beta_{n-1} \leq \dots \leq \beta_{r+1} \leq \beta_r \geq \beta_{r-1} \geq \dots \geq \beta_1 \geq \rho \beta_0$$

where $0 \leq p, q \leq n-1$, then all the zeros of $P(z)$ lie in the disk

$$R^{\lambda \mu} \leq |z - z_{\lambda \mu}| \leq R_{\lambda \mu},$$

$$\text{where } z_{\lambda \mu} = \left[\frac{(1-\lambda)\alpha_n}{a_n} + i \frac{(1-\mu)\beta_n}{a_n} \right],$$

$$R_{\lambda \mu} = \frac{1}{|a_n|} \{ 2(\alpha_p + \beta_q) - (\lambda \alpha_n + \mu \beta_n) - (\tau \alpha_0 + \rho \beta_0) + (1 - \tau) |a_0| + (1 - \rho) |\beta_0| + |a_0| \}$$

$$R^{\lambda \mu} = \frac{|a_0|}{|a_n| + |(1-\lambda)\alpha_n| + |(1-\mu)\beta_n| + 2(\alpha_k + \beta_r) - (\lambda \alpha_n + \mu \beta_n) - (\tau \alpha_0 + \rho \beta_0) + (1-\tau)\alpha_0 + (1-\rho)\beta_0} - \frac{1}{|a_n|} [(1-\lambda)^2 \alpha_n^2 + (1-\mu)^2 \beta_n^2]^{1/2}$$

Proof: Consider the polynomial

$$F(z) = (1-z)P(z) = -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0$$

$$= [-\alpha_n z^{n+1} + (\alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_1 - \alpha_0)z + \alpha_0] + i[-\beta_n z^{n+1} + (\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0]$$

$$= -\alpha_n z^{n+1} + [(\alpha_n - \lambda\alpha_n) + (\lambda\alpha_n - \alpha_{n-1})]z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (\alpha_{k+1} - \alpha_k)z^{k+1} + (\alpha_k - \alpha_{k-1})z^k + \dots + (\alpha_1 - \tau\alpha_0) + (\tau\alpha_0 - \alpha_0)z + \alpha_0 + i[-\beta_n z^{n+1} + (\beta_n - \mu\beta_n) + (\mu\beta_n - \beta_{n-1})z^n + (\beta_{n-1} - \beta_{n-2})z^{n-1} + \dots + (\beta_{r+1} - \beta_r)z^{r+1} + (\beta_r - \beta_{r-1})z^r + \dots + [(\beta_1 - \rho\beta_0) + (\rho\beta_0 - \beta_0)]z + \beta_0]$$

$$= -z^n \{ (\alpha_n + i\beta_n)z - (1-\lambda)\alpha_n - i(1-\mu)\beta_n \} + [(\lambda\alpha_n - \alpha_{n-1})z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (\alpha_{k+1} - \alpha_k)z^{k+1} + (\alpha_k - \alpha_{k-1})z^k + \dots + (\alpha_1 - \tau\alpha_0) + (\tau\alpha_0 - \alpha_0)]z + i[(\mu\beta_n - \beta_{n-1})z^n + (\beta_{n-1} - \beta_{n-2})z^{n-1} + \dots + (\beta_{r+1} - \beta_r)z^{r+1} + (\beta_r - \beta_{r-1})z^r + \dots + [(\beta_1 - \rho\beta_0) + (\rho\beta_0 - \beta_0)]z + (\alpha_0 + i\beta_0)]$$

Now if $|z| > 1, \frac{1}{|z|^{n-j}} < 1, j = 0, 1, 2, \dots, n-1$

Therefore,

$$|F(z)| \geq |z|^n \{ |a_n z - (1-\lambda)\alpha_n - i(1-\mu)\beta_n| - \{ |\lambda\alpha_n - \alpha_{n-1}| + \frac{|\alpha_{n-1} - \alpha_{n-2}|}{|z|} + \dots + \frac{|\alpha_{k+1} - \alpha_k|}{|z|^{n-k+1}} + \frac{|\alpha_k - \alpha_{k-1}|}{|z|^{n-k}} + \frac{|\alpha_{k-1} - \alpha_{k-2}|}{|z|^{n-k+1}} + \dots + \frac{|\alpha_1 - \tau\alpha_0|}{|z|^{n-1}} + \frac{|1-\tau||\alpha_0|}{|z|^{n-1}} + |\mu\beta_n - \beta_{n-1}| + \frac{|\beta_{n-1} - \beta_{n-2}|}{|z|} + \dots + \frac{|\beta_{r+1} - \beta_r|}{|z|^{n-r+1}} + \frac{|\beta_r - \beta_{r-1}|}{|z|^{n-r}} + \frac{|\beta_{r-1} - \beta_{r-2}|}{|z|^{n-r+1}} + \dots + \frac{|\beta_1 - \rho\beta_0|}{|z|^{n-1}} + \frac{|1-\rho||\beta_0|}{|z|^{n-1}} + \frac{|\alpha_0|}{|z|^n} \} \}$$

$$= |z|^n \{ |a_n z - (1-\lambda)\alpha_n - i(1-\mu)\beta_n| - \{ 2\alpha_p - \lambda\alpha_n - \tau\alpha_0 + |\alpha_0| + 2\beta_q - \mu\beta_n - \rho\beta_0 + (1-\tau)|\alpha_0| + (1-\rho)|\beta_0| \} > 0, \text{ if}$$

$$|z - \frac{(1-\lambda)\alpha_n}{a_n} - i\frac{(1-\mu)\beta_n}{a_n}| > \frac{1}{|a_n|} \{ 2(\alpha_p + \beta_q) - (\lambda\alpha_n + \mu\beta_n) - (\tau\alpha_0 + \rho\beta_0) \}$$

$$+ (1-\tau)|\alpha_0| + (1-\rho)|\beta_0| + |\alpha_0| \}$$

This shows that the zeros of F(z) having modulus greater than 1 lie in

$$|z - \frac{(1-\lambda)\alpha_n + i(1-\mu)\beta_n}{a_n}| \leq \frac{1}{|a_n|} \{ 2(\alpha_p + \beta_q) - (\lambda\alpha_n + \mu\beta_n) - (\tau\alpha_0 + \rho\beta_0) \}$$

$$+ (1-\tau)|\alpha_0| + (1-\rho)|\beta_0| + |\alpha_0| \}$$

Since all the zeros of P(z) with modulus greater than 1 lie in this disc, it can be shown that

$R_{\lambda\mu} \geq 1$. Consequently the zeros of P(z) with modulus less than or equal to one are already contained in the disk $|z - z_{\lambda\mu}| \leq R_{\lambda\mu}$

In order to prove the lower bound $R^{\lambda\mu} \leq |z - z_{\lambda\mu}|$ we first prove the following lemma.

Lemma: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with complex coefficients. Then for $|z| < 1$, we show that

$$|z| \leq \frac{|a_0|}{M_2} = \frac{|a_0|}{|a_n| + |(\lambda-1)\alpha_n| + |(\mu-1)\beta_n| + 2(\alpha_p + \beta_q) - (\lambda\alpha_n + \mu\beta_n) - (\tau\alpha_0 + \rho\beta_0) + (1-\tau)\alpha_0 + (1-\rho)\beta_0}$$

Proof: Let $|z| < 1$.

$$\text{Consider } F(z) = (1-z)P(z) = -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0$$

$$= [-\alpha_n z^{n+1} + (\alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_1 - \alpha_0)z + \alpha_0] + i[-\beta_n z^{n+1} + (\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0]$$

$$= -z^n \{ (\alpha_n + i\beta_n)z - (1-\lambda)\alpha_n - i(1-\mu)\beta_n \} + \{ (\lambda\alpha_n - \alpha_{n-1})z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (\alpha_{k+1} - \alpha_k)z^{k+1} + (\alpha_k - \alpha_{k-1})z^k + \dots + [(\alpha_1 - \tau\alpha_0) + (\tau\alpha_0 - \alpha_0)]z + i[(\mu\beta_n - \beta_{n-1})z^n + (\beta_{n-1} - \beta_{n-2})z^{n-1} + \dots + (\beta_{r+1} - \beta_r)z^{r+1} + (\beta_r - \beta_{r-1})z^r + \dots + [(\beta_1 - \rho\beta_0) + (\rho\beta_0 - \beta_0)]z + (\alpha_0 + i\beta_0) \}$$

$$= \Psi(z) + a_0,$$

Where

$$\Psi(z) = -z^n \{ (\alpha_n + i\beta_n)z - (1-\lambda)\alpha_n - i(1-\mu)\beta_n \} + \{ (\lambda\alpha_n - \alpha_{n-1})z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (\alpha_{k+1} - \alpha_k)z^{k+1} + (\alpha_k - \alpha_{k-1})z^k + \dots + [(\alpha_1 - \tau\alpha_0) + (\tau\alpha_0 - \alpha_0)]z + i[(\mu\beta_n - \beta_{n-1})z^n + (\beta_{n-1} - \beta_{n-2})z^{n-1} + \dots + (\beta_{r+1} - \beta_r)z^{r+1} + (\beta_r - \beta_{r-1})z^r + \dots + [(\beta_1 - \rho\beta_0) + (\rho\beta_0 - \beta_0)]z \}$$

$$\therefore |\Psi(z)| = |a_n z - (1-\lambda)\alpha_n - i(1-\mu)\beta_n| + \{ (\lambda\alpha_n - \alpha_{n-1})z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (\alpha_{k+1} - \alpha_k)z^{k+1} + (\alpha_k - \alpha_{k-1})z^k + \dots + [(\alpha_1 - \tau\alpha_0) + (\tau\alpha_0 - \alpha_0)]z + i[(\mu\beta_n - \beta_{n-1})z^n + (\beta_{n-1} - \beta_{n-2})z^{n-1} + \dots + (\beta_{r+1} - \beta_r)z^{r+1} + (\beta_r - \beta_{r-1})z^r + \dots + [(\beta_1 - \rho\beta_0) + (\rho\beta_0 - \beta_0)]z \}$$

$$\leq |a_n z - (1-\lambda)\alpha_n - i(1-\mu)\beta_n| + \{ 2\alpha_k - \lambda\alpha_n - \tau\alpha_0 + 2\beta_r - \mu\beta_n - \rho\beta_0 + (1-\tau)|\alpha_0| + (1-\rho)|\beta_0| \} \leq |a_n z - (1-\lambda)\alpha_n - i(1-\mu)\beta_n| + M_1,$$

$$\leq |a_n z| + |(1-\lambda)\alpha_n| + |(1-\mu)\beta_n| + |M_1|$$

$$\text{where } M_1 = 2\alpha_k - \lambda\alpha_n - \tau\alpha_0 + 2\beta_r - \mu\beta_n - \rho\beta_0 + (1-\tau)\alpha_0 + (1-\rho)\beta_0$$

Since $\Psi(0) = 0$, it follows by Schwarz lemma that

$$|\Psi(z)| \leq M_1|z| \text{ for } |z| < 1$$

Therefore for $|z| < 1$,

$$|F(z)| = |\Psi(z) + a_0| \geq |a_0| - |\Psi(z)| = |a_0| - |a_n z| - |(1-\lambda)\alpha_n| - |(1-\mu)\beta_n| - M_1|z| > 0, \text{ if}$$

$$|a_0| \geq |a_n z| + |(1-\lambda)\alpha_n| + |(1-\mu)\beta_n| + M_1|z|$$

$$\geq |z| \left[|a_n| + M_1 \frac{|(1-\lambda)\alpha_n| + |(1-\mu)\beta_n|}{|z|} \right]$$

$$\geq |z| (|a_n| + |(1-\lambda)\alpha_n| + |(1-\mu)\beta_n| + M_1)$$

$$> |z| M_2,$$

where

$$M_2 = \{|a_n| + |(1-\lambda)\alpha_n| + |(1-\mu)\beta_n| + 2(\alpha_k + \beta_r) - (\lambda\alpha_n + \mu\beta_n) - (\tau\alpha_0 + \rho\beta_0) + (1-\tau)\alpha_0 + (1-\rho)\beta_0\}$$

$$\text{Thus, } |z| \leq \frac{|a_0|}{M_2}$$

Hence $P(z)$ does not vanish in $|z| < \frac{|a_0|}{M_2}$. It can be shown that $M_2 \leq |a_0|$ so that $|z| \leq 1$. Hence $P(z)$ has all its zeros in $\frac{|a_0|}{M_2} \leq |z|$. Now we prove the second part of the main theorem (1)

$$\text{Since } |z - z_{\lambda\mu}| \geq |z| - |z_{\lambda\mu}|,$$

then using eq(15) of above lemma in eq(16), we have

$$|z - z_{\lambda\mu}| \geq |z| - |z_{\lambda\mu}| \geq \frac{|a_0|}{M_2} - |z_{\lambda\mu}|$$

$$\text{This implies } \frac{|a_0|}{M_2} - |z_{\lambda\mu}| \leq |z - z_{\lambda\mu}|$$

$$\frac{|a_0|}{M_2} - \left| \frac{(1-\lambda)\alpha_n}{a_n} + i \frac{(1-\mu)\beta_n}{a_n} \right| \leq |z - z_{\lambda\mu}|$$

$$\text{From above eq we obtain } R^{\lambda\mu} \leq |z - z_{\lambda\mu}|$$

Hence the above theorem is completely proved.

Corollary 1: Let $P(z) = \sum_0^n a_j z^j$ be a polynomial of degree n with complex coefficients. If

$\text{Re}(a_j) = \alpha_j$ and $\text{Im}(a_j) = \beta_j$, for $j = 0, 1, 2, \dots, n$. such that for some $0 < \lambda \leq 1$, and $0 < \tau \leq 1$

$$\lambda\alpha_n \leq \alpha_{n-1} \leq \dots \leq \alpha_{p+1} \leq \alpha_p \geq \alpha_{p-1} \geq \dots \geq \alpha_1 \geq \tau\alpha_0$$

then all the zeros of $P(z)$ lie in the disk

$$R^{\lambda\mu} \leq |z - z_{\lambda\mu}| \leq R_{\lambda\mu},$$

$$\text{where } z_{\lambda\mu} = \frac{(1-\lambda)\alpha_n}{a_n}$$

$$R_{\lambda\mu} = \frac{1}{|a_n|} [2\alpha_p - \lambda\alpha_n - \tau\alpha_0 + (1-\tau)|\alpha_0| + |\beta_n| + |\beta_0| + 2\sum_{j=0}^{n-1} |\beta_j| + |a_0|]$$

$$R^{\lambda\mu} = \frac{|a_0|}{|a_n| + |(1-\lambda)\alpha_n| + 2\alpha_p - \lambda\alpha_n - \tau\alpha_0 + (1-\tau)|\alpha_0| + |\beta_n| + |\beta_0| + 2\sum_{j=0}^{n-1} |\beta_j|} - \frac{1}{|a_n|} [(1-\lambda)^2 \alpha_n^2]^{1/2}$$

Corollary 2: Let $P(z) = \sum_0^n a_j z^j$ be a polynomial of degree n with complex coefficients. If

$\text{Re}(a_j) = \alpha_j$ and $\text{Im}(a_j) = \beta_j$, for $j = 0, 1, 2, \dots, n$. such that for some $0 < \mu \leq 1$, and $0 < \rho \leq 1$

$$\mu\beta_n \leq \beta_{n-1} \leq \dots \leq \beta_{r+1} \leq \beta_r \geq \beta_{r-1} \geq \dots \geq \beta_1 \geq \rho\beta_0$$

then all the zeros of $P(z)$ lie in the disk

$$R^{\lambda\mu} \leq |z - z_{\lambda\mu}| \leq R_{\lambda\mu},$$

$$\text{where } z_{\lambda\mu} = \frac{(1-\mu)\beta_n}{a_n}$$

$$R_{\lambda\mu} = \frac{1}{|a_n|} [2\beta_r - \mu\beta_n - \rho\beta_0 + (1-\rho)|\beta_0| + |\alpha_n| + |\alpha_0| + 2\sum_{j=0}^{n-1} |\alpha_j| + |a_0|]$$

$$R^{\lambda\mu} = \frac{|a_0|}{|a_n| + |(1-\mu)\beta_n| + 2\beta_r - \mu\beta_n - \rho\beta_0 + (1-\rho)|\beta_0| + |\alpha_n| + |\alpha_0| + 2\sum_{j=0}^{n-1} |\alpha_j|} - \frac{1}{|a_n|} [(1-\mu)^2 \beta_n^2]^{1/2}$$

Corollary 3: If in Theorem C, we take $0 < \lambda, \mu, \rho, \tau \leq 1$ then we obtain the following:

$$R_1 \leq |z| \leq R_2 \text{ where } R_1 = \frac{|a_0|}{M_1} \text{ and } R_2 = \frac{M_2}{|a_n|} \text{ with}$$

$$M_1 = |a_n| + |(1-\lambda)|\alpha_n| + (1-\mu)|\beta_n| + 2(\alpha_k + \beta_r) - (\lambda\alpha_n + \mu\beta_n) - \tau\alpha_0 + (1-2\tau)|\alpha_0| + (1-2\rho)|\beta_0|$$

and

$$M_2 = (1-\lambda)|\alpha_n| + (1-\mu)|\beta_n| + 2(\alpha_k + \beta_r) - (\lambda\alpha_n + \mu\beta_n) - (\tau\alpha_0 + \rho\beta_0) + (1-2\tau)|\alpha_0| + (1-2\rho)|\beta_0| + |a_0|$$

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