

**NEW SUB-CLASSES OF BI-UNIVALENT FUNCTIONS DEFINED BY SUBORDINATION**

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**Abstract:** In this paper, we introduce a new subclass of analytic bi-univalent functions defined by subordinations. We obtain estimates on the general and initial Taylor-Maclaurin coefficient of this class using Faber polynomial approach. Connections to earlier known results are briefly indicated.

**Keywords:** Bi-univalent functions, Coefficient estimates, Convolution, Faber polynomial expansions, Subordination, Univalent functions.

**Introduction:** Let  $A$  denote the class of functions of the form:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \tag{1.1}$$

which are analytic in the open unit disc

$$U = \{z : z \in \mathbb{C} \text{ and } |z| < 1\}$$

and satisfy the normalization condition  $f(0) = f'(0) = 1$ .

Let  $f(z)$  and  $g(z)$  be analytic functions in  $U$ , we say that  $f(z)$  is subordinate to  $g(z)$ , written as  $f(z) \prec g(z)$  if there exist a Schwarz function  $w(z)$  in  $U$ , such that  $f(z) = g(w(z))$  with  $w(0) = 0$  and  $|w(z)| < 1$  ( $z \in U$ ) [13]

In particular, if the function  $g(z)$  univalent in  $U$ , then the above subordination is equivalent to  $f(0) = g(0)$  and  $f(U) \subseteq g(U)$ .

For functions  $f(z)$  defined by (1.1) and

$$g(z) = z + \sum_{n=2}^{\infty} b_n z^n$$

we define convolution of  $f(z)$  and  $g(z)$  by

$$(f * g)(z) = z + \sum_{n=2}^{\infty} a_n b_n z^n, z \in U.$$

Let  $S$  be the class of  $A$  consisting of the functions of the form (1.1) which are also univalent in  $U$ . According to Koebe one quarter theorem [13], it is well known fact that every function  $f \in S$  has an inverse  $f^{-1}$ , which is defined by  $f^{-1}(f(z)) = z$  ( $z \in U$ ) and  $f(f^{-1}(w)) = w$  for  $|w| < 1/4$ .

A function  $f(z) \in A$  is said to be

bi-univalent in  $U$  if both  $f(z)$  and  $f^{-1}(z)$  are univalent in  $U$ . Let  $\Sigma$  denote the class of all bi-univalent functions in  $U$  given by the Taylor-Maclaurin series expansion (1.1). The bi-univalence condition imposed on the functions  $f(z) \in A$  makes the behaviour of their coefficients unpredictable. Lewin [11] first investigated the bi-univalent function class  $\Sigma$  and showed that  $|a_2| < 1.51$ .

Subsequently, Brannan and Clunie [3] conjectured that  $|a_2| \leq \sqrt{2}$ . However Netanyahu [4] showed that

$$\max_{f \in \Sigma} |a_2| = \frac{4}{3}.$$

Ali et al [14] remarked that finding the bounds for  $|a_n|$  when  $n \geq 4$  is an open problem. Recently, several researchers such as ([1,2,8,9,15,20]) introduced and investigated subclasses

of bi-univalent functions and obtained the coefficient bounds for  $|a_2|$  and  $|a_3|$ . S.G.Hamidi and J.M.Jahangiri [19] used Faber polynomial coefficient for finding the estimates on the coefficient bounds for the classes of bi-univalent functions. These bounds prove to be better than those estimates provided by Srivastava et al [8] and Frasin and Aouf [2]. Hence, motivated by his work, we also used Faber polynomial approach to find the coefficient estimate of our new subclass of bi-univalent functions.

The object of the present paper is to introduce new subclass of bi-univalent functions defined by subordination using convolution and obtain the general and initial coefficient for the same.

**Definition.1.1:** Let  $\phi: U \rightarrow \mathbb{C}$ , be a convex univalent function such that  $\phi(0) = 1$  and  $\phi(\bar{z}) = \overline{\phi(z)}$ , ( $z \in U; \text{Re}(\phi(z)) > 0$ ).

A function  $f(z)$  is said to be in the class  $B_{\Sigma}^{\psi, \beta}(\phi)$ , if the following conditions are satisfied:

$$f(z) \in \Sigma \text{ and } e^{i\beta} \frac{(f * \psi)(z)}{z} \prec \phi(z) \cos \beta + i \sin \beta, (z \in U),$$

$$\text{and } e^{i\beta} \frac{(f * \psi)(w)}{w} \prec \phi(w) \cos \beta + i \sin \beta, (w \in U);$$

where  $\beta \in (-\pi/2, \pi/2)$ , and  $g = f^{-1}$ .

**Remark 1.1:** If we set  $\psi(z) = z + \sum_{n=2}^{\infty} \frac{\Gamma(n+1)\Gamma(2-\lambda)}{\Gamma(n+1)-\lambda} z^n$ , we obtain the class studied by S.P.Goyal and Pranay Goswami [20].

**Remark 1.2:** If we set  $\psi(z) = z + \sum_{n=2}^{\infty} nz^n$  and

$$\phi(z) = \frac{1 + Az}{1 + Bz}, -1 \leq B < A \leq 1, \text{ then this class}$$

reduces to  $B_{\Sigma}^0(A, B)$  [20] and further substituting  $A = 1 - 2\alpha$ ,  $0 \leq \alpha < 1, B = -1$  and  $\beta = 0$ , we get known class  $B_{\Sigma}(\beta)$  studied earlier by Srivastva et al [8].

**Coefficient Bounds For The Class:**

Using the Faber Polynomial expansion of functions  $f(z) \in A$  of the form (1.1), the coefficients of its inverse map  $g = f^{-1}$  may be expressed as, [5],

$$g(w) = f^{-1}(w) = w + \sum_{n=2}^{\infty} \frac{1}{n} K_{n-1}^{-n}(a_2, a_3, \dots) w^n$$

where

$$\begin{aligned} K_{n-1}^{-n} &= \frac{(-n)!}{(-2n+1)!(n-1)!} a_2^{n-1} \\ &+ \frac{(-n)!}{(2(-n+1)!(n-3)!} a_2^{n-3} a_3 \\ &+ \frac{(-n)!}{(-2n+3)!(n-4)!} a_2^{n-4} a_4 \\ &+ \frac{(-n)!}{(2(-n+2)!(n-5)!} a_2^{n-5} [a_5 + (-n+2)a_3^2] \\ &+ \frac{(-n)!}{(-2n+5)!(n-6)!} a_2^{n-6} [a_6 + (-2n+5)a_3 a_4] \\ &+ \sum_{i \geq 7} a_2^{n-i} V_i, \end{aligned}$$

such that  $V_i$  with  $7 \leq i \leq n$  is a homogenous polynomial in the variables  $a_2, a_3, \dots, a_n$  [6].

In particular, the first three terms of  $K_{n-1}^{-n}$  are

$$\begin{aligned} \frac{1}{2} K_1^{-2} &= -a_2 \\ \frac{1}{3} K_2^{-3} &= 2a_2^2 - a_3 \\ \frac{1}{4} K_3^{-4} &= -(5a_2^3 - 5a_2 a_3 + a_4) \end{aligned}$$

In general, for any  $p \in \mathbb{N}$ , an expansion of  $K_n^p$  is as, [5, page 183]

$$\begin{aligned} K_n^p &= p a_n + \frac{p(p-1)}{2} D_n^2 \\ &+ \frac{p!}{(p-3)! 3!} D_n^3 + \dots + \frac{p!}{(p-n)! n!} D_n^n \end{aligned}$$

where

$$D_n^p = D_n^p(a_2, a_3, \dots)$$

and by [12]

$$D_n^m(a_1, a_2, \dots, a_n) = \sum_{m=1}^{\infty} \frac{m!(a_1)^{\mu_1} \dots (a_n)^{\mu_n}}{\mu_1! \dots \mu_n!}$$

while  $a_1 = 1$ , and the sum is taken over all non negative integers  $\mu_1, \dots, \mu_n$  satisfying

$$\begin{aligned} \mu_1 + \mu_2 + \dots + \mu_n &= m, \\ \mu_1 + 2\mu_2 + \dots + n\mu_n &= n \end{aligned}$$

It is clear that

$$D_n^n(a_1, a_2, \dots, a_n) = a_1^n \text{ [7].}$$

**Theorem 2.1:** If  $f(z) \in A$  satisfies (1.1), is in the class  $B_{\Sigma}^{\psi, \beta}(\phi)$

If  $a_k = 0$ ; ( $2 \leq k \leq n-1$ ), then

$$|a_n| \leq \frac{2 \cos \beta}{\psi_n}, n \geq 4$$

where  $\beta \in (-\pi/2, \pi/2)$ .

Proof: Let  $f(z) \in A$  be as given in (1.1)

Therefore,

$$e^{i\beta} \frac{(f * \psi)(z)}{z} = e^{i\beta} \left[ 1 + \sum_{n=2}^{\infty} a_n \psi_n z^{n-1} \right] \tag{2.1}$$

and for its inverse map,  $g = f^{-1}$ ,

we have

$$\begin{aligned} e^{i\beta} \frac{(f * \psi)(w)}{w} &= e^{i\beta} \left[ 1 + \sum_{n=2}^{\infty} b_n \psi_n w^{n-1} \right] \\ &= \\ e^{i\beta} \left[ 1 + \sum_{n=2}^{\infty} K_{n-1}^{-n}(a_2, a_3, \dots) \psi_n w^{n-1} \right] &\tag{2.2} \end{aligned}$$

On the other hand, since  $f \in B_{\Sigma}^{\psi, \beta}(\phi)$  and  $g = f^{-1} \in B_{\Sigma}^{\psi, \beta}(\phi)$ , by definition, there exist two Schwarz functions

$$\begin{aligned} p(z) &= c_1 z + c_2 z^2 + c_3 z^3 + \dots \text{ and} \\ q(w) &= d_1 w + d_2 w^2 + d_3 w^3 + \dots \text{ such that} \end{aligned}$$

$$e^{i\beta} \left[ 1 + \sum_{n=2}^{\infty} a_n \psi_n z^{n-1} \right] = \phi(p(z))$$

$$e^{i\beta} \left[ 1 + \sum_{n=2}^{\infty} b_n \psi_n w^{n-1} \right] = \phi(q(w))$$

$$\text{where } \phi(p(z)) = 1 + \sum_{n=1}^{\infty} \sum_{k=1}^n \phi_k D_n^k(c_1, c_2, \dots, c_n) z^n \tag{2.3}$$

$$\text{and } \phi(q(w)) = 1 + \sum_{n=1}^{\infty} \sum_{k=1}^n \phi_k D_n^k(d_1, d_2, \dots, d_n) w^n \tag{2.4}$$

Comparing the corresponding coefficients of (2.1) and (2.3) we have

$$e^{i\beta} \left[ 1 + \sum_{n=2}^{\infty} a_n \psi_n z^{n-1} \right] = \sum_{k=1}^{n-1} \phi_k D_{n-1}^k(c_1, c_2, \dots, c_{n-1}), n \geq 2 \tag{2.5}$$

Similarly from (2.2) and (2.4) we have

$$e^{i\beta} \left[ 1 + \sum_{n=2}^{\infty} b_n \psi_n z^{n-1} \right] = \sum_{k=1}^{n-1} \phi_k D_{n-1}^k(d_1, d_2, \dots, d_{n-1}), n \geq 2 \tag{2.6}$$

Now, (2.5) and (2.6) for  $a_k = 0$  ( $2 \leq k \leq n-1$ ), respectively, yield

$$a_n \psi_n e^{i\beta} = \phi_1 c_{n-1} \cos \beta \tag{2.7}$$

$$\text{and } -a_n \psi_n e^{i\beta} = \phi_1 d_{n-1} \cos \beta \tag{2.8}$$

Taking the absolute values of  $a_n$  in (2.7) or (2.8) and using the facts  $|\phi_1| \leq 2$ ,  $|c_{n-1}| \leq 1$  and  $|d_{n-1}| \leq 1$  we obtain

$$|a_n| \leq \frac{2 \cos \beta}{\psi_n} \tag{2.9}$$

Our next theorem clearly shows the unpredictable behavior of the initial coefficients of classes of bi-univalent functions.

**Theorem 2.2:** If  $f \in A$  satisfies (1.1), is in the class  $B_{\Sigma}^{\psi, \beta}(\phi)$ . Then

- i)  $|a_2| \leq \min \left\{ \frac{2 \cos \beta}{\psi_2}, \sqrt{\frac{4 \cos \beta}{\psi_3}} \right\}$
- ii)  $|a_3| \leq \min \left\{ \frac{4 \cos \beta}{\psi_3}, \frac{2 \cos \beta}{\psi_3} + \frac{4 \cos^2 \beta}{\psi_2^2} \right\}$
- iii)  $|2a_2^2 - a_3| \leq \frac{4 \cos \beta}{\psi_3}$

where  $\beta \in (-\pi/2, \pi/2)$ .

**Proof:** Letting  $n = 2$  and  $n = 3$  in (2.5) and (2.6) respectively, imply

$$a_2 \psi_2 e^{i\beta} = \phi_1 c_1 \cos \beta \tag{2.10}$$

$$a_3 \psi_3 e^{i\beta} = (\phi_1 c_2 + \phi_2 c_1^2) \cos \beta \tag{2.11}$$

$$-a_2 \psi_2 e^{i\beta} = \phi_1 d_1 \cos \beta \tag{2.12}$$

$$(2a_2^2 - a_3) \psi_3 e^{i\beta} = (\phi_1 d_2 + \phi_2 d_1^2) \cos \beta \tag{2.13}$$

From (2.10) or (2.12) we have

$$|a_2| = \left| \frac{\phi_1 c_1 \cos \beta}{e^{i\beta} \psi_2} \right| \leq \frac{2 \cos \beta}{\psi_2} \tag{2.14}$$

From (2.10) and (2.12) we have

$$(2a_2^2) \psi_2^2 e^{2i\beta} = \phi_1^2 (c_1^2 + d_1^2) \cos^2 \beta$$

$$a_2^2 = \frac{\phi_1^2 (c_1^2 + d_1^2)}{2e^{2i\beta} \psi_2^2} \cos^2 \beta \tag{2.15}$$

From (2.11) and (2.13) we have

$$(2a_2^2) \psi_3 e^{i\beta} = [\phi_1 (c_2 + d_2) + \phi_2 (c_1^2 + d_1^2)] \cos \beta$$

$$a_2^2 = \frac{[\phi_1 (c_2 + d_2) + \phi_2 (c_1^2 + d_1^2)]}{2\psi_3 e^{i\beta}} \cos \beta$$

$$a_2 = \sqrt{\frac{[\phi_1 (c_2 + d_2) + \phi_2 (c_1^2 + d_1^2)]}{2\psi_3 e^{i\beta}}} \cos \beta$$

$$|a_2| \leq \sqrt{\frac{4 \cos \beta}{\psi_3}}$$

and combining this with the inequality (2.14) we obtain the desired estimate on the coefficient  $|a_2|$  as asserted in the theorem.

From (2.11) we have

$$|a_3| = \left| \frac{(\phi_1 c_2 + \phi_2 c_1^2) \cos \beta}{e^{i\beta} \psi_3} \right| \leq \frac{4 \cos \beta}{\psi_3} \tag{2.16}$$

On the other hand, again from (2.11) and (2.13) we have

$$2(a_3 - a_2^2) \psi_3 e^{i\beta} = [\phi_1 (c_2 - d_2) + \phi_2 (c_1^2 - d_1^2)] \cos \beta$$

Since  $c_1 = -d_1$ , we have

$$a_3 - a_2^2 = \frac{\phi_1 (c_2 - d_2)}{2e^{i\beta} \psi_3} \cos \beta$$

and using (2.15)

$$a_3 = \frac{\phi_1 (c_2 - d_2)}{2e^{i\beta} \psi_3} \cos \beta + \frac{\phi_1^2 (c_1^2 + d_1^2)}{2e^{2i\beta} \psi_2^2} \cos^2 \beta$$

which implies  $|a_3| \leq \frac{2 \cos \beta}{\psi_3} + \frac{4 \cos^2 \beta}{\psi_2^2}$

and combining this with the inequality (2.15) we obtain the desired estimate on the coefficient  $|a_3|$  as asserted in the theorem 2.2.

Also from (2.13) we have  $|2a_2^2 - a_3| \leq \frac{4 \cos \beta}{\psi_3}$

**Remark 2.1:** On taking special values for  $\psi(z)$ , we obtain a known result due to S.P.Goyal et al [20] and Srivastava et al [8].

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